

GovRisk, Accredited Training Provider for the CISI, offer a variety of ways to study for Global Financial Compliance.

A successful compliance function is a fundamental requirement for any effective business. In financial services it is a critical component.

The Chartered Institute for Securities & Investment (CISI)'s Global Financial Compliance qualification is a comprehensive introduction to all the issues a compliance officer may encounter anywhere in the world. It provides a sound grounding in:

- the international regulatory environment
- the compliance function
- managing the risk of financial crime
- ethics, integrity and fairness
- governance, risk management and compliance

Who should take this course?

The Global Financial Compliance qualification has been developed to provide candidates with a broad understanding of the compliance issues that arise within the financial services industry and is particularly aimed at **compliance officers** and **all compliance staff**.

Practitioner-Led, Expert Tutorials:

Comprehensive Course

An intensive, three-day programme covering the entire syllabus with practical case studies.

Evening Classes

A flexible solution to consolidate your learning over five evenings.



Private and In-house Training:

Courses conducted in-house can be tailored to the needs of the organisation, incorporating your own schedules, additional CISI modules or other tangible, practical goals.

Our dedicated Secretariat work with you to provide a bespoke solution, making the best use of our expertise and your resources.

“ International organisations require a joined-up Compliance methodology and a practical approach to the challenges of cross-border regulation. ”

Francesca Brown
Senior Compliance Manager
Standard Chartered Bank

Background

GovRisk courses combine your own study with an intensive tutorial to give you the best chance of passing. The courses are based on the application of practical knowledge by renowned, working practitioners.

The Global Financial Compliance qualification can be taken as a stand-alone award. If taken with an appropriate regulatory unit, candidates will be awarded the **Certificate in Global Financial Compliance**. The exam also qualifies as an IOC (formerly IAQ) technical unit.

Candidates receive preferential rates when booking combined courses. Distance Learning options will also be available from mid-2012.

Comprehensive Course (3 days): £1495 + VAT
Evening Classes (5 nights): £1995 + VAT
FSA Financial Regulation (2 days): £795 + VAT
 (£595 when booked with a GFC Course)
Distance Learning packages: from June 2012
Bespoke Training Packages: £POA

What's included?

- Course registration
- Examination fees (£170)
- Full official CISI course workbook (£75)
- Online sample questions for revision (£35)
- Course handouts and case studies (£150)
- Lunch at local restaurant (plus refreshments)

CISI Membership

Candidates receive free student membership on completing the qualifications registration form.

Individuals who successfully complete the Global Financial Compliance examination are eligible to become Associate (ASCI) members of the CISI.

" I believe this qualification will go a long way in establishing Compliance as a distinct functional area within the financial sector. A recognition which is long overdue despite the accepted importance of the function. "

Waheed Rathore MCSI
 Executive Vice President, Group Chief Compliance Officer & MLRO
 Risk Management Group, Abu Dhabi Commercial Bank

Faculty Presenters

GovRisk Faculty members are working practitioners actively involved in compliance-related fields. They include:



Monica Bond
 Solicitor, Forensic Accountant,
 Financial Investigator



Adrian Bond
 "Company Doctor", Chartered Accountant
 and Financial Investigator



Nikos Passas
 Professor of Criminology, Consultant on
 Sanctions, Financial Crime and Corruption



Gert Demmink
 Former Central Bank Regulator,
 Regulation & Compliance Consultant



Edward Wilding
 Financial Investigator



Noel Bonczoszek
 Financial Investigator

Full biographies and more Faculty members are online.

Regulatory Approval

The CISI is recognised as an Awarding Body by the UK Office of the Qualifications and Examinations Regulator. Many of the CISI's qualifications are mandated by different regulators around the world, so both individuals and firms can be confident that the CISI's processes and policies and systems meet rigorous quality assurance standards.

Over 40,000 CISI exams are taken every year at CBT centres worldwide. For a list of centres visit cisi.org/cbt